

Bureau of Justice Assistance  
Office of Justice Programs  
U.S. Department of Justice

Building Stronger Justice Systems:  
Leveraging Public and  
Private Resources

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## Seeking Funds Strategically

- Review the organization's mission
- Undergo a Strategic Planning Process
- Assess gaps and challenges
- Identify key/critical issues
- Agree on direction/Action Plan
- Implement
- Reassess

## Finding Resources

- Assess current projects/resources
- Identify grant cycles
- Research opportunities
- Select the right marketplace
- Assess your potential
- Seek Technical Assistance
- Follow-up

## Application Development

- Why are you dedicating your time
- Who will read it
- Is the message clear
- There is no “I” in Team

## Principles of Clear Writing

- Keep sentences short
- Prefer the simple to the complex
- Put action into your verbs
- Use terms your reader can picture
- Tie in with your reader’s experience
- Write to express, not impress

## Checklist

- Words spelled correctly?
- Words capitalized correctly?
- Acronyms referenced appropriately
- Used Appropriate tense?
- Jargon and excess verbiage eliminated?

## Checklist (Cont'd)

- Provided a clear description?
- Answered the 5 Ws?
- Format followed?
- Proofed...with a second set (or more) of eyes?
- Take advantage of technical assistance?

## Application Submission

- All solicitation criteria responded to?
- Forms and attachments filled out & signed
- Comments and review provided?
- Timely submission?
- Competitive or non-competitive review process?
- Letters of support?
- Follow-up

## BJA's Tribal Justice Programs ([www.ojp.usdoj.gov/BJA](http://www.ojp.usdoj.gov/BJA))

- Indian Alcohol and Substance Abuse Program
- Tribal Courts Assistance Program
- Construction of Correctional Facilities on Tribal Lands Discretionary Grant Program

## Tribal Courts Assistance Program (TCAP)

- Provides federally recognized tribes with resources to develop/implement/enhance the operation of tribal justice systems.
- Competitive application processes conducted in FY 1999, 2001, 2003, 2004, 2005, and 2006.
- Disseminated more than 300 awards and provides national, regional, and local training and technical assistance venues benefiting grantees and non-grantees alike.

## TCAP/Recent Accomplishments

- Annually delivers up to 35 local and regional training events.
- Recently conducted assessments of tribal justice systems in Alaska and California.
- Planned/conducted 3 significant Gatherings for Tribal Justice Leaders in Anchorage (AK), Washington, DC, and Green Bay (WI) involving officials from upward of 300 American Indian and Alaska Native communities.
- Ongoing publication development.
- National Restorative Justice Conference planned for 2007.

# Indian Alcohol and Substance Abuse Program (IASAP)

“Provides resources to plan, develop, implement, or enhance holistic tribal justice strategies to control and prevent crime involving alcohol and substance abuse.”

## IASAP Objectives

- Establish an advisory team to plan/implement the tribe's strategy.
- Identify, apprehend, and prosecute individuals who illegally transport, distribute, and use alcohol and controlled substances.
- Prevent and reduce alcohol and substance abuse related crimes, traffic fatalities, and injuries.
- Increase tribal and non-tribal coordination among all levels of government.
- Establish culturally appropriate treatment for offenders and their families.

## Construction of Correctional Facilities on Tribal Lands Program

- Funding may be used to construct/renovate correctional facilities used for the incarceration of offenders subject to tribal jurisdiction.
- Since 1996, 26 American Indian and Alaska Native communities have received federal support.
- In FY 2006, BJA is working to finalize current projects and partnering with the BIA to ensure that facilities are granted operational status.

## Construction of Correctional Facilities on Tribal Lands Program

- FY 2002 -- \$35,191,000
- FY 2003 -- \$5,000,000
- FY 2004 -- \$2,000,000
- FY 2005 -- \$5,000,000
- FY 2001 -- \$34,000,000
- FY 2006 -- \$9,000,000

**Other Resources...**

**Grants Management**

## **Grants Management The Basics**

- Does your grant file contain all documents pertaining to the award?
- Have you received final notification that your budget is approved?
- Does your file contain all approved GANS with back-up documentation?

## **Grants Management The Basics (Cont'd)**

- Are you in compliance with all Special Conditions?
- Have you been timely in the submission of your Categorical Progress and Fiscal Reports?
- Do you maintain a grant-related contact list that provides dates, names, and subject matter?
- Are thorough fiscal records being maintained?

## OJP Financial Guide

- Received with the Award Packet
- Updated semiannually
- May be obtained electronically at <http://www.ojp.usdoj.gov/oc>
- Or contact the OJP Office of the Comptroller, Customer Service center at 1-800-458-0786

## Financial Status Report - SF269A

- Must be filed within 45 days of the most recent past quarter
- If delinquent, services will be interrupted
- If you have no outlays, incorporate zeroes and file the report
- Penalties for filing late/not filing may include approval to receive fund drawdowns, grant adjustments, and future awards

## Categorical Assistance Progress Reports

- Prepared/submitted twice a year
- Describes the performance of activities...  
how goals and objectives are being  
accomplished
- Must also address your program's  
Outcome/ Process Measures and  
Performance Indicators (GPRA)

## Government Performance and Results Act (GPRA), Public Law 103-62

"Grantees are required to collect and report  
data that measure the program's results."

## Audits Do Occur Top 10 Findings

- Lack of documentation
- Inadequate monitoring of subrecipients
- Untimely report submissions
- Inadequate time/effort given to completing reports
- Inaccurate financial reports
- Commingling of funds
- Excess cash on hand
- Unallowable costs
- Inappropriate changes
- Conflicts of interest
- Time Sheets

## Common Pitfalls

- Lack of understanding regarding the “OJP Financial Guide.”
- Not developing a good working relationship with govt. counterparts.
- Missing fiscal and programmatic deadlines.
- Developing reports at the last minute.
- Not crunching the numbers.
- Maintaining minimal/incomplete fiscal and programmatic records.

FY 2007  
Planning Begins Now